



For my529 Use Only

my529 Account _____

Date Received by my529 _____

User Initials _____

Form 405

Investment Option Change

ABOUT THIS FORM

Complete this form to change an account's investment option. You can also change your investment option online at my529.org.

IMPORTANT INFORMATION ABOUT YOUR INVESTMENT OPTION CHANGE

- Changing the investment option for a single my529 account will reallocate your entire current balance to a new investment option. All new contributions will be invested according to the new investment option selected.
- You may choose only one investment option per account. If you want a portion of your account balance to remain in the existing investment option, you must open a new account for the new investment option and transfer the desired amount to that account using the Internal Transfer form (Form 400).
- 529 rules allow for two investment option changes per beneficiary per calendar year.
- If you have multiple accounts for the same beneficiary and you are changing investment options on more than one account, you must complete a separate Investment Option Change form (Form 405) for each account. my529 will accept investment option changes for an account owner with multiple accounts for the same beneficiary if they are received together on the same day.
- Requests in good order will usually be completed within three business days after my529 receives this form.
- If you request to cancel this investment option change in a timely manner, my529 will make all reasonable efforts to stop the processing of the change; however, my529 is not responsible for any consequences of processing the request.

NEXT STEPS

- Fill out section 1 to **change your investment option** for a single my529 account.
- Please print clearly—preferably in capital letters, using black or blue ink.

SUBMITTING THIS FORM

- To ask questions about completing this form, contact my529 toll-free at 800.418.2551 on business days from 7 a.m. to 5 p.m. MT.
- Return this form to: my529, PO Box 145100, Salt Lake City, UT 84114-5100. For delivery by overnight carrier, send to: my529, Utah Board of Higher Education Building, Gateway 2, 60 South 400 West, Salt Lake City, UT 84101-1284. You may also fax this form to 800.214.2956.

1 Change Investment Option (single account)

Complete this section to select a **new** investment option for your account.

A. Account Information

my529 Account Number	Account Owner/Agent's Last Name	First Name
Primary Phone	Alternate Phone	Email
Beneficiary's Last Name	First Name	

Continued on Next Page ➞

B. Investment Option Selection

• Select your **new** investment option from the choices below. For a description of each investment option, refer to the *Investment Information* section of the Program Description. Please also complete section 2.

Select ONE investment option from those listed below:

Target Enrollment Date

Target Enrollment Date Investment Option

Target Enrollment Date portfolios take into consideration the target year the account beneficiary will begin taking withdrawals to pay for education expenses. Over time, the portfolios gradually shift to a more conservative investment allocation as the target enrollment year draws closer. Investment allocations automatically adjust over time based on a preset quarterly allocation schedule.

☐ Target Enrollment 2044/2045

☐ Target Enrollment 2042/2043

☐ Target Enrollment 2040/2041

☐ Target Enrollment 2038/2039

☐ Target Enrollment 2036/2037

☐ Target Enrollment 2034/2035

☐ Target Enrollment 2032/2033

☐ Target Enrollment 2030/2031

☐ Target Enrollment 2028/2029

☐ Target Enrollment 2026/2027

☐ Target Enrollment 2024/2025

☐ Enrolled

Static

Static Investment Options

In the Static options, money remains in the same investment allocation without changing over time. The target allocation remains the same until you decide to change to a different investment option.

☐ Total US Stock Market

☐ Global Equity 90/10 US & International

☐ Global Equity 70/30 US & International

☐ 80/20 Aggressive

☐ 60/40 Balanced

☐ 40/60 Moderate

☐ 20/80 Conservative

☐ Fixed Income

☐ FDIC-Insured

☐ Stable Value

To select a customized investment option using the form, attach a completed Customized Investment Option Calculator available at my529.org

Customized

Customized Investment Options

In the customized investment options, you take the full responsibility to determine the asset allocations, which can consist of any combination of offered funds.

Please read the my529 Program Description for more information about the customized investment options.

Available Online

Customized Age-Based

The Customized Age-Based investment option is offered for the account owner who wants to design an investment glide path from the available underlying investments that automatically reallocates as the beneficiary ages.

Available Online

Customized Static

The Customized Static investment option is offered for the account owner who wants to design an investment allocation from the available underlying funds that remain in the chosen allocation regardless of the beneficiary's age.

PLEASE NOTE: If you have selected the customized investment option, but only have one of three (3) specific funds in your customized portfolio, you could achieve the same investment result at a lower cost by selecting that fund within the static investment option. The three (3) funds are the following: (i) my529 Total Stock Market Index (UTVTX); my529 FDIC-Insured Portfolio (UTFIX); or my529 Stable Value (UTPSVX). Selecting the static investment option rather than the customized investment option would result in saving 3 basis points (or 30 cents per year on every \$1,000 invested).

2 Signature Authorization

By signing below,

- I authorize my current investment option to be changed as specified in section 1.
- I understand that I can request two investment option changes for all my accounts for the same beneficiary each calendar year.
- I understand that I can change an investment option on future contributions by opening a new my529 account.
- I certify that the information on this form is true and accurate.



Account Owner/Agent

Signature

Date (mm/dd/yyyy)

Account Owner/Agent Name (please print)

Account Agent Title (if signed on behalf of a trust, corporation, or other institution)